

# Extending the kernel for planar Steiner Tree to the number of Steiner vertices

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# Planar Steiner Tree

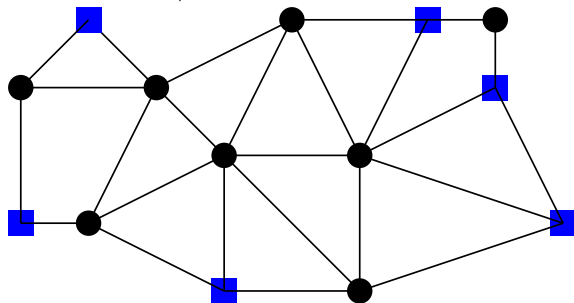
## PLANAR STEINER TREE

**Input:** A planar graph  $G = (V, E)$ , a set  $T \subseteq V$ , and an integer  $k$ .

**Question:** Is there a set  $S \subset V \setminus T$  of size  $|S| \leq k$  such that  $G[T \cup S]$  is connected?

vertices in  $T$  ... *terminals*

vertices in  $V \setminus T$  ... *non-terminals or Steiner vertices.*



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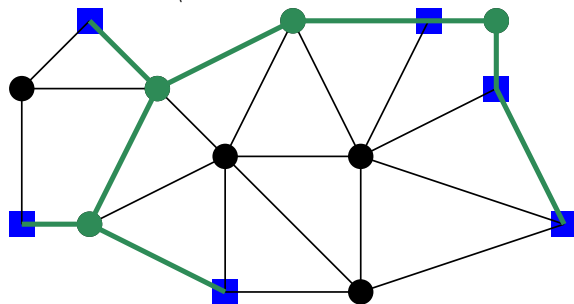
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We assume a sphere embedding, we do not distinguish the outer face

# Known Results

- we have just heard
- notably:
  - ▶ Pilipczuk, Pilipczuk, Sankowski, and van Leeuwen [FOCS 2014] gave a polynomial kernel for STEINER TREE in planar graphs, when parameterized by  $|T| + k$ , the total number of vertices in the constructed subgraph.

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- Here: parameter  $k$  — the number of Steiner vertices to “add”, solution size (above guarantee)
- We also show polynomial kernel (using their one)

# Methods

- We present several reduction rules
- We assume the instance is reduced with respect to all previous ones when we apply the current one
- At the end we show that  $|T| = O(k^3)$  and we apply the kernel of Pilipczuk et al.

# Trivial Reduction Rule

## Reduction Rule — Trivial Cases

If  $k \geq 0$  and  $G[T]$  is connected, then answer YES.

If  $k < 0$  or  $k = 0$  and  $G[T]$  is not connected, then answer NO.

If there is no path in  $G$  between two terminals, then answer NO.



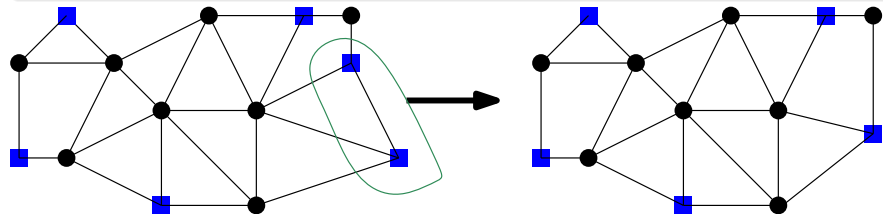
# Folklore Reduction Rule

## Reduction Rule [Folklore]

If there are two adjacent terminals  $x$  and  $y$ , then contract the edge  $\{x, y\}$ .

## Proof of Correctness

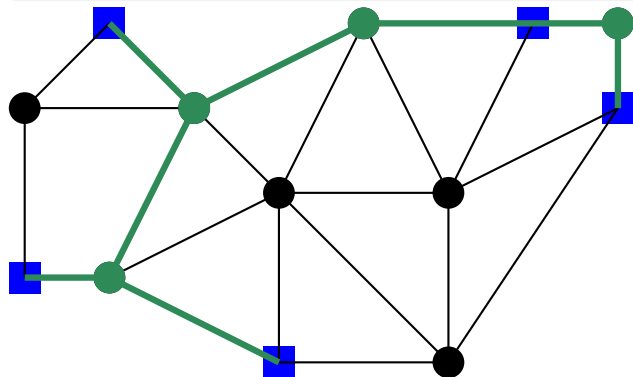
Contracting an edge cannot change the connectedness of an induced subgraph containing both endpoints of the edge.



# Crucial Observation

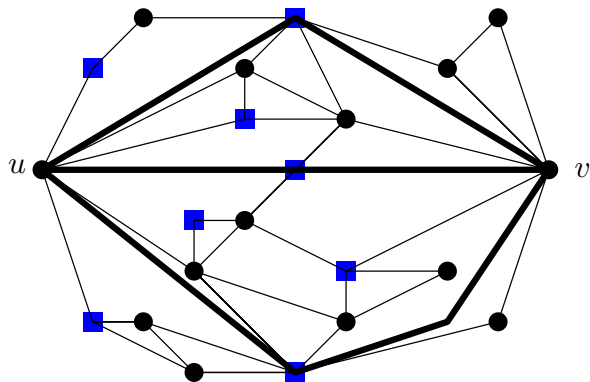
## Observation

If  $G[S \cup T]$  connected and  $x \in T$  then,  $N(x) \cap S \neq \emptyset$ .



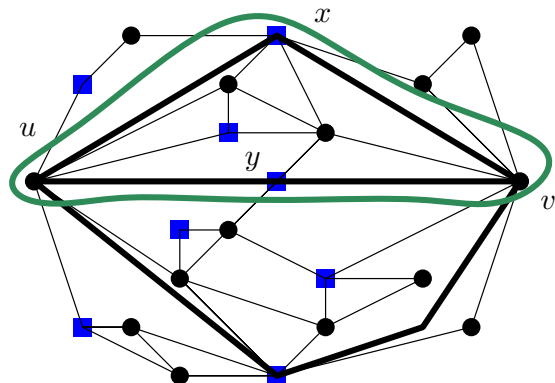
# Eyes

- Suppose  $u, v$  non-terminals
- with at least 3 common terminal neighbors.
- Let  $x, y$  be two consecutive of the neighbors.
- We call  $u, x, v, y$  an *eye*.
- A vertex is *inside* the eye, if it is drawn inside the eye.



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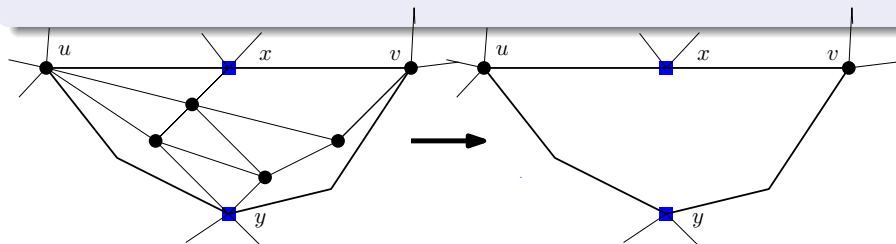
# Empty Eyes

## Reduction Rule — Empty Eyes

Suppose  $u, x, v$  and  $y$  form an eye. If there is no terminal  $z \in T$  inside the eye, then remove every vertex  $w$  inside the eye from  $G$ .

## Proof of Correctness

- If  $G'[T \cup S]$  connected, then so is obviously  $G[T \cup S]$ .



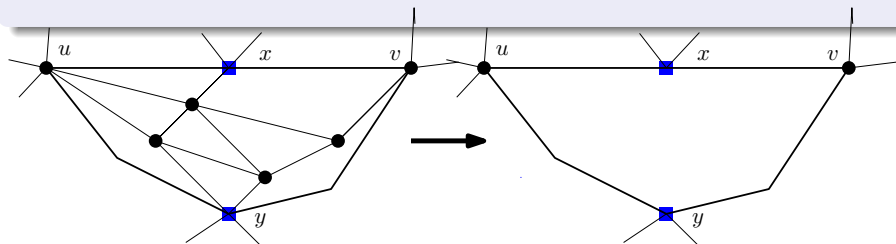
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- If  $G'[T \cup S]$  connected, then so is obviously  $G[T \cup S]$ .
- For the other direction, let  $D$  be the deleted vertices.
- If  $S \cap D \neq \emptyset$  then let  $S' = S \setminus D \cup \{v\}$ .



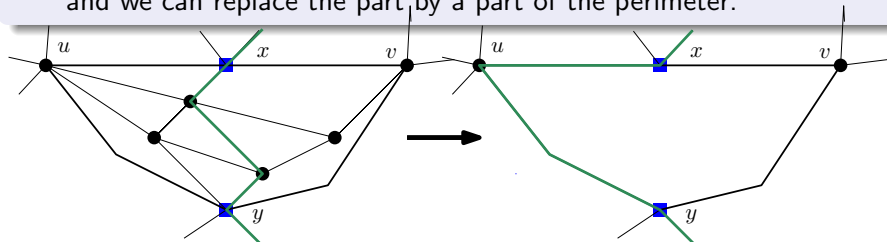
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- If  $S \cap D \neq \emptyset$  then let  $S' = S \setminus D \cup \{v\}$ .
- Now  $G[T \cup S]$  connected, path through  $D$  must cross the perimeter and we can replace the part by a part of the perimeter.



# One Sided Eye

## Reduction Rule — One Sided Eye

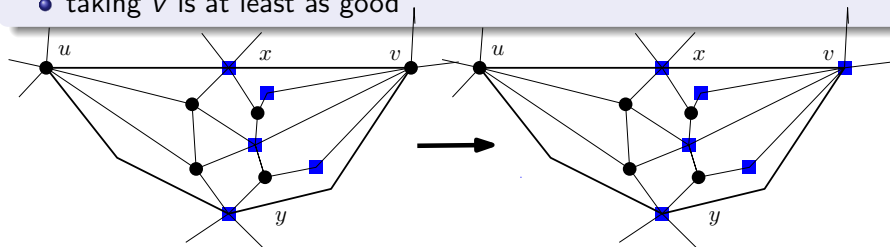
Suppose  $u, x, v$  and  $y$  form an eye.

Suppose there is a terminal  $z \in T$  inside the eye.

If every terminal  $z \in T$  inside the eye is a neighbor of  $v$ ,  
then add  $v$  to  $T$  and reduce  $k$  by one.

## Proof of Correctness

- the terminals inside have  $v$  and something inside as a neighbor
- $u$  cannot be a neighbor by the definition of an eye
- taking  $v$  is at least as good





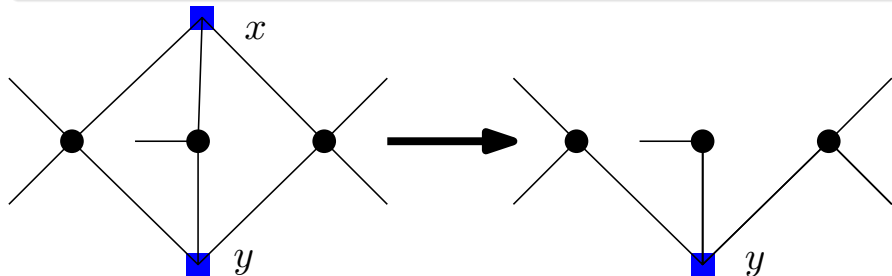
# Same Neighborhood

## Reduction Rule — Same Neighborhood

If there are  $x, y$  in  $T$  such that  $N(x) = N(y)$ , then remove  $x$  from  $G$  and from  $T$ .

## Proof of Correctness

- $k \geq 1$  solving the case  $|T| = 2$



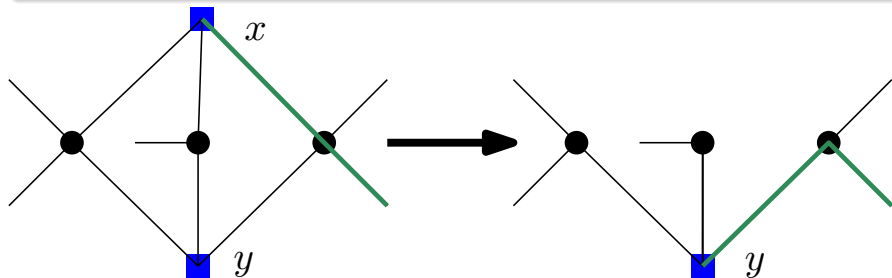
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## Proof of Correctness

- $k \geq 1$  solving the case  $|T| = 2$
- Otherwise we can always use the path to  $y$  and detour it to  $x$ .



# High Degree Rule

## Reduction Rule — High Degree

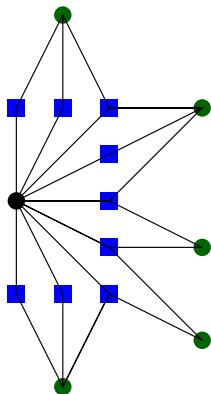
If there is a vertex  $u \in V \setminus T$  which has at least  $2k^2$  terminal neighbors, then add  $u$  to  $T$  and reduce  $k$  by one.

## Proof of Correctness

- The easy direction:
  - ▶ If  $S'$  of size at most  $k - 1$  s.t.  $G[T' \cup S']$  connected,
  - ▶ then  $S = S' \cup \{u\}$  gives  $|S| \leq k$  and  $G[T \cup S] = G[T' \cup S']$  connected.
- The interesting direction:
  - ▶ If  $u$  in  $S$ , then exactly as above.
  - ▶ Suppose  $u$  not in  $S$ .
  - ▶ We show that this contradicts the instance being reduced with respect to the previous rules.

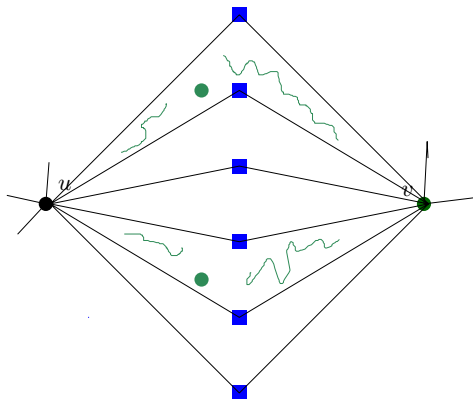
## High Degree Rule Proof Continued

- $u$  is not in  $S$ , but its  $\geq 2k^2$  neighbors need a neighbor in  $S$
- since  $|S| \leq k$  there is  $v$  in  $S$  having at least  $2k$  common terminal neighbors with  $u$



## High Degree Rule Proof Continued

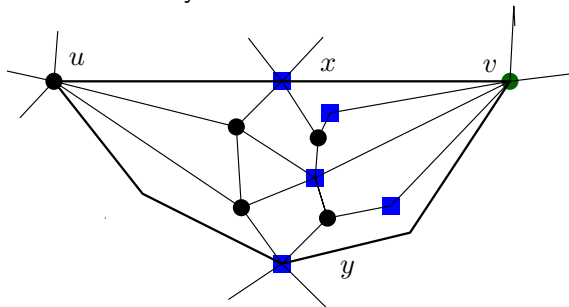
- $u$  is not in  $S$ , but its  $\geq 2k^2$  neighbors need a neighbor in  $S$
- since  $|S| \leq k$  there is  $v$  in  $S$  having at least  $2k$  common terminal neighbors with  $u$
- $u$  and  $v$  together form  $\geq 2k$  eyes.
- at most  $k - 1$  of these eyes have a vertex of  $S$  inside
- we show that the other eyes are empty



## Eyes without a Vertex from $S$

Let  $u, x, v, y$  be an eye without a vertex of  $S$  inside.

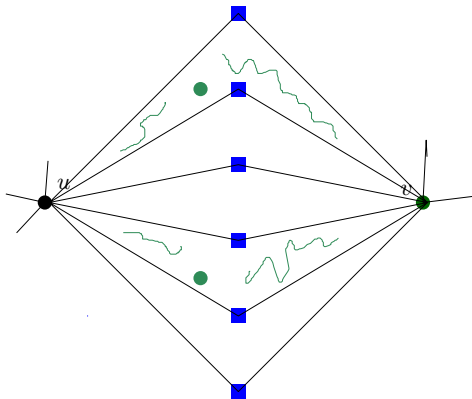
- If there is a terminal inside, then it is adjacent to  $v$   
 $\Leftarrow v$  is the only vertex of  $S$  around



- All terminals inside are adjacent to  $v \Rightarrow$   
the eye is one sided and a Reduction Rule applies
- Hence there are no terminals inside  $\Rightarrow$   
the eye is empty by application of a Reduction Rule

## Finishing the Proof of the High Degree Rule

- We have at least  $2k$  eyes, only at most  $k - 1$  of them nonempty
- Each nonempty eye is incident to two common terminal neighbors of  $u$  and  $v$
- By a pigeonhole principle there are at least 2 common terminal neighbors  $x$  and  $y$  of  $u$  and  $v$  not incident to any nonempty eye
- But then  $N(x) = N(y) = \{u, v\}$  and a Reduction Rule applies.



# Final Kernel Bound

## Lemma — “Kernel Bound”

In a YES-instance reduced with respect to the above rules, there are at most  $2k^3$  terminals.

## Proof

- Let  $S$  be a solution, i.e.,  $|S| \leq k$  and  $G[T \cup S]$  connected.
  - By the observation every terminal has a neighbor in  $S$
  - Every vertex of  $S$  has at most  $2k^2$  terminal neighbors
  - There are at most  $k \cdot 2k^2 = 2k^3$  terminals
- 
- Now we have  $(|T| + k) = O(k^3)$  and it remains to use the  $O((k + |T|)^{142})$ -size kernel of Pilipczuk et al. to obtain  $O(k^{426})$ -size kernel



## Future Directions

- We gave  $O(k^{426})$ -size kernel for PLANAR STEINER TREE
- Work in progress: it should be possible to get the bound down to  $|T| = O(k^2)$ , giving a kernel of size  $O(k^{284})$

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- Open question: Is there a polynomial kernel for PLANAR STEINER TREE with respect to  $|T|$ ?
- Open question: Is there a subexponential algorithm with respect to  $k$  or  $|T|$ ?

Thank you for your attention!